# Local Government OMBUDSMAN

# The Local Government Ombudsman's Annual Letter Shropshire County Council for the year ended

31 March 2008

The Local Government Ombudsman (LGO) provides a free, independent and impartial service. We consider complaints about the administrative actions of councils and some other authorities. We cannot question what a council has done simply because someone does not agree with it. If we find something has gone wrong, such as poor service, service failure, delay or bad advice, and that a person has suffered as a result, the Ombudsmen aim to get it put right by recommending a suitable remedy. The LGO also uses the findings from investigation work to help authorities provide better public services through initiatives such as special reports, training and annual letters.

#### Annual Letter 2007/08 - Introduction

This annual letter provides a summary of the complaints we have received about Shropshire County Council. We have included comments on the authority's performance and complaint-handling arrangements, where possible, so they can assist with your service improvement.

I hope that the letter will be a useful addition to other information your authority holds on how people experience or perceive your services.

Two attachments form an integral part of this letter: statistical data covering a three year period and a note to help the interpretation of the statistics.

#### **Complaints received**

#### Volume

We received 13 complaints against your Council during the year, a small increase on the nine received in the previous year. We do expect to see some fluctuations from year to year and the number remains low.

#### Character

Five of the complaints concerned Education, four were about Highways, two about Adult Care Services and two about miscellaneous matters.

#### **Decisions on complaints**

#### **Reports and local settlements**

When we complete an investigation we issue a report. I did not issue any reports against your Council during the year.

A 'local settlement' is a complaint where, during the course of our investigation, the Council has agreed to take some action which we consider is a satisfactory response to the complaint. The investigation is then discontinued. In 2007/08 the Local Government Ombudsmen determined some 27% of complaints by local settlement (excluding 'premature' complaints - where councils have not had a proper chance to deal with them - and those outside our jurisdiction).

No complaints were regarded as local settlements during the year.

#### Other findings

Ten complaints were not pursued because no evidence of maladministration was identified or because it was decided for other reasons that it was not appropriate to pursue them. In a further two cases I took the view that the matters complained of were outside my jurisdiction.

#### Your Council's complaints procedure and handling of complaints

None of the complaints received during the year were regarded as premature. This suggests that your Council has a complaints procedure which is accessible to your citizens and is effective in resolving complaints.

#### Liaison with the Local Government Ombudsman

Enquiries were made on six complaints during the year. Your Council's average response time was 29.5 days. While this is just outside my target of 28 days, it represents a significant improvement on the previous year and I am grateful for the efforts your officers have made in this regard.

From time to time we hold a seminar here in Coventry for Council officers designated as the link between your Council and my office. I see that no one from your Council has attended for a few years.

The feedback we receive from attendees is very positive and the seminars are seen as a useful way of improving practice for the benefit of your officers and for improving service to people who find that they need to make complaints. If your Council would be interested in sending a delegate to the next seminar please contact my office for more information.

#### Training in complaint handling

Part of our role is to provide advice and guidance about good administrative practice. We offer training courses for all levels of local authority staff in complaints handling and investigation. This year we carried out a detailed evaluation of the training with councils that have been trained over the past three years. The results are very positive.

The range of courses is expanding in response to demand. In addition to the generic Good Complaint Handling (identifying and processing complaints) and Effective Complaint Handling (investigation and resolution) we now offer these courses specifically for social services staff and a course on reviewing complaints for social care review panel members. We can run open courses for groups of staff from different smaller authorities and also customise courses to meet your Council's specific requirements.

All courses are presented by an experienced investigator so participants benefit from their knowledge and expertise of complaint handling.

I have enclosed some information on the full range of courses available together with contact details for enquiries and any further bookings.

#### LGO developments

We launched the LGO Advice Team in April 2008, providing a first contact service for all enquirers and new complainants. Demand for the service has been high. Our team of advisers, trained to provide comprehensive information and advice, has dealt with many thousands of calls since the service started.

The team handles complaints submitted by telephone, email or text, as well as in writing. This new power to accept complaints other than in writing was one of the provisions of the Local Government and Public Involvement in Health Act 2007, which also came into force in April 2008. Our experience of implementing other provisions in the Act, such as complaints about service failure and apparent maladministration, is being kept under review and will be subject to further discussion. Any feedback from your Council would be welcome.

Last year we published two special reports providing advice and guidance on 'applications for prior approval of telecommunications masts' and 'citizen redress in local partnerships'. Again, I would appreciate your feedback on these, particularly on any complaints protocols put in place as part of the overall governance arrangements for partnerships your Council has set up.

#### **Conclusions and general observations**

I welcome this opportunity to give you my reflections about the complaints my office has dealt with over the past year. I hope that you find the information and assessment provided useful when seeking improvements to your Council's services.

As a result of Secretary of State's decisions on the future structure of local government in Shropshire this is the last Annual Letter that I shall be sending to the Council in its present form. I should like to take this opportunity of thanking all the members and officers who have dealt with my office for their courtesy and cooperation and wish you well for the future.

J R White Local Government Ombudsman The Oaks No2 Westwood Way Westwood Business Park Coventry CV4 8JB

### June 2008

Enc: Statistical data Note on interpretation of statistics Leaflet on training courses (with posted copy only)

| Complaints received by subject area | Adult care<br>services | Children<br>and family<br>services | Education | Other | Transport<br>and<br>highways | Total |
|-------------------------------------|------------------------|------------------------------------|-----------|-------|------------------------------|-------|
| 01/04/2007 -                        | 3                      | 0                                  | 5         | 1     | 4                            | 13    |
| 31/03/2008<br>2006 / 2007           | 2                      | 0                                  | 1         | 2     | 4                            | 9     |
| 2005 / 2006                         | 3                      | 2                                  | 5         | 2     | 9                            | 21    |

Note: these figures will include complaints that were made prematurely to the Ombudsman and which we referred back to the authority for consideration.

| I | Decisions               | MI reps | LS | M reps | NM reps | No mal | Omb disc | Outside jurisdiction | Premature complaints | Total excl<br>premature | Total |
|---|-------------------------|---------|----|--------|---------|--------|----------|----------------------|----------------------|-------------------------|-------|
|   | 01/04/2007 - 31/03/2008 | 0       | 0  | 0      | 0       | 8      | 2        | 2                    | 0                    | 12                      | 12    |
|   | 2006 / 2007             | 0       | 3  | 0      | 0       | 6      | 3        | 3                    | 1                    | 15                      | 16    |
|   | 2005 / 2006             | 0       | 1  | 0      | 0       | 5      | 4        | 0                    | 2                    | 10                      | 12    |

See attached notes for an explanation of the headings in this table.

|                         | FIRST ENQUIRIES           |                               |  |  |  |  |
|-------------------------|---------------------------|-------------------------------|--|--|--|--|
| Response times          | No. of First<br>Enquiries | Avg no. of days<br>to respond |  |  |  |  |
| 01/04/2007 - 31/03/2008 | 6                         | 29.5                          |  |  |  |  |
| 2006 / 2007             | 6                         | 37.5                          |  |  |  |  |
| 2005 / 2006             | 7                         | 24.3                          |  |  |  |  |

## Average local authority response times 01/04/2007 to 31/03/2008

| Types of authority        | <= 28 days | 29 - 35 days | > = 36 days |
|---------------------------|------------|--------------|-------------|
|                           | %          | %            | %           |
| District Councils         | 56.4       | 24.6         | 19.1        |
| Unitary Authorities       | 41.3       | 50.0         | 8.7         |
| Metropolitan Authorities  | 58.3       | 30.6         | 11.1        |
| County Councils           | 47.1       | 38.2         | 14.7        |
| London Boroughs           | 45.5       | 27.3         | 27.3        |
| National Park Authorities | 71.4       | 28.6         | 0.0         |